

SM

Section 13
PATENT
Docket No. 421262000500

CERTIFICATE OF MAILING BY "FIRST CLASS MAIL"

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to:
Assistant Commissioner for Patents, Washington, D.C. 20231, on January 18, 2002.

Beverly S. Carter
Beverly S. Carter

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the application of:

Jeffrey Wayne PENCE et al.

Serial No.: 10/006,399

Filing Date: November 30, 2001

For: **SYSTEM AND METHOD FOR SECURE
INTEGRATION OF SOFTKEYS WITH
VOICE MAIL**

Examiner: Unknown

Group Art Unit: 2645

COPY OF PAPERS
ORIGINALLY FILED

TRANSMITTAL LETTER FOR MISSING PARTS OF APPLICATION

Box Missing Parts
Assistant Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

In complete response to the enclosed Notice to File Missing Parts of Application Under

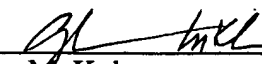
37 C.F.R. § 1.53(f) dated January 10, 2002, attached please find:

- ☒ The original Declaration (combined with Power of Attorney) signed by the inventors. Please see attached Fee Transmittal For FY 2002(PTO/SB/17) for charging the fee as set forth in 37 C.F.R. § 1.16(e).
- ☐ A declaration signed by the inventor(s) and the surcharge of \$130.00 as set forth in 37 C.F.R. § 1.16(e).
- ☐ A Power of Attorney and Prosecution by Assignee Under 37 C.F.R. § 3.71.
- ☐ A Declaration of Small Entity Status.
- ☐ A Petition for Extension of Time.
- ☐ A verified English translation of the application, and the \$130.00 fee as set forth in 37 C.F.R. § 1.17(k). Please see attached Fee Transmittal Form FY 2001.
- ☐ A preliminary amendment.
- ☐ Other: :

The Assistant Commissioner is hereby authorized to charge the total fee of \$130.00 and any additional fees under 37 C.F.R. §§ 1.16 and 1.17 that may be required by this transmittal and associated documents, or to credit any overpayment to Deposit Account No. 03-1952. The Fee Transmittal For FY 2002 (PTO/SB/17) is enclosed (in duplicate) for that purpose.

Respectfully submitted,

Dated: January 18, 2002


Glenn M. Kubota
Registration No. 44,197

Morrison & Foerster LLP
555 West Fifth Street
Suite 3500
Los Angeles, California 90013-1024
Telephone: (213) 892-5752
Facsimile: (213) 892-5454



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/006,399	11/30/2001	Jeffrey Wayne Pence	421262000500

CONFIRMATION NO. 4273

FORMALITIES LETTER



OC000000007293671

Glenn M. Kubota
Morrison & Foerster LLP
35th Floor
555 W. 5th Street
Los Angeles, CA 90013

COPY OF PAPERS
ORIGINALLY FILED

Date Mailed: 01/10/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

03/15/2002 MBERHE 00000104 031952 10006399

01 FC:105 130.00 CH

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

**FEE TRANSMITTAL
FOR FY 2002**

Patent fees are subject to annual revision.

Complete If Known

Application Number	10/006,399
Filing Date	November 30, 2001
First Named Inventor	Jeffrey Wayne PENCE
Examiner Name	Currently unknown
Group Art Unit	2645
Attorney Docket No.	421262000500

☐ Applicant Claims Small Entity Status. See 37 CFR 1.27**TOTAL AMOUNT OF PAYMENT**

(\$130.00)

METHOD OF PAYMENT☐ Check ☐ Credit Card ☐ Money Order ☐ OtherDeposit
Account
Number

03-1952

Deposit
Account
Name

Morrison & Foerster LLP

The Commissioner is authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☒ Credit any overpayments☒ Charge any additional fee(s) required Under 37 CFR 1.16 and 1.17☐ Charge fee(s) indicated below, except for the filing fee

to the above-identified deposit account

FEE CALCULATION**1. BASIC FILING FEE**

Large	Entity	Small	Entity	Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee (\$)	Fee (\$)		
101	740	201	370	Utility filing fee	
106	330	206	160	Design filing fee	
107	510	207	255	Plant filing fee	
108	740	208	370	Reissue filing fee	
114	160	214	80	Provisional filing fee	

SUBTOTAL (1) (\$0)

2. EXTRA CLAIM FEES

	Large	Entity	Small	Entity	Extra Claims	Fee from below	Fee Paid
Total Claims	0	-20**	0	x	18	=	\$0
Independent Claims	0	-3**	0	x	84	=	\$0

Multiple Dependent

= \$0

Large	Entity	Small	Entity	Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
103	18	203	9	Claims in excess of 20	
102	84	202	42	Independent claims in excess of 3	
104	280	204	140	Multiple dependent claims, if not paid	
109	84	209	42	**Reissue independent claims over original patent	
110	18	210	9	**Reissue claims in excess of 20 and over original patent	

SUBTOTAL (2) (\$0)

** or number previously paid, if greater; For Reissues, see above.

FEE CALCULATION (continued)**3. ADDITIONAL FEES**

Large	Entity	Small	Entity	Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
105	130	205	65	Surcharge - late filing fee or oath	130
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	400	216	200	Extension for reply within second month	
117	920	217	460	Extension for reply within third month	
118	1,440	218	720	Extension for reply within fourth month	
128	1,960	228	980	Extension for reply within fifth month	
119	320	219	160	Notice of Appeal	
120	320	220	160	Filing a brief in support of an appeal	
121	280	221	140	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,280	241	640	Petition to revive - unintentional	
142	1,280	242	640	Utility issue fee (or reissue)	
143	440	243	220	Design issue fee	
144	600	244	300	Plant issue fee	
122	130	122	130	Petitions of the Commissioner	
123	50	123	50	Petitions related to provisional applications	
126	180	126	180	Submission of Information Disclosure Stmt	
581	40	581	40	Recording each patent assignment per properties (times number of properties)	
146	740	246	370	Filing a submission after final rejection (37 CFR § 1.129(a))	
149	740	249	370	For each additional invention to be examined (37 CFR § 1.129(b))	
179	740	279	370	Request for Continued Examination (RCE)	
169	900	169	900	Request for expedited examination of a design application	

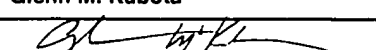
Other fee (specify)

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$130.00)

SUBMITTED BY

Complete (if applicable)

Name (Print/Type)	Glenn M. Kubota	Registration No. (Attorney/Agent)	42,197	Telephone	(213) 892-5752
Signature				Date	January 18, 2002

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

la-544662